

## 5 Hilbert's theorem

### *Completeness of Riemannian manifolds*

**Riemannian manifolds.** A *Riemannian manifold* is a manifold equipped with a *Riemannian metric*  $ds^2$ , that is, for each point  $p \in M$ ,  $(ds^2)_P$  gives a (positive definite) inner product of the tangent space  $T_P M$  of  $M$  at  $P$ , and  $P \mapsto (ds^2)_P$  satisfies an appropriate smoothness condition<sup>3</sup>.

**Example 5.1.** Let  $\langle \cdot, \cdot \rangle$  be the canonical inner product of  $\mathbb{R}^n$ . Identifying  $T_P \mathbb{R}^n$  with  $\mathbb{R}^n$ ,  $\langle \cdot, \cdot \rangle$  induces the inner product on the tangent space. Thus,  $\mathbb{R}^n$  is regarded as a Riemannian manifold with  $ds^2 = \langle \cdot, \cdot \rangle$ , which is called the *Euclidean space*.

Let  $M$  be a 2-dimensional manifold and  $p: M \rightarrow \mathbb{R}^3$  a smooth map<sup>4</sup>. A map  $p$  is said to be an *immersion* if the rank of the differential  $(dp)_P$  is 2 for each  $P \in M$ . If this is the case, setting

$$(ds^2)_P(X_P, Y_P) := \langle dp_P(X_P), dp_P(Y_P) \rangle \quad X_P, Y_P \in T_P M$$

we obtain the Riemannian metric  $ds^2$  on  $M$ , which is called the *induced metric* of  $\langle \cdot, \cdot \rangle$  by  $p$ .

In a local coordinate system  $(U, (u, v))$  on  $M$ , the map  $p$  is considered as an  $\mathbb{R}^3$ -valued function in variables  $(u, v)$ . Then  $p$  is an immersion if and only if the derivatives  $p_u$  and  $p_v$  are linearly independent at each point on  $U$ , that is nothing but the condition for regular parametrization. By using standard notation of manifold theory,  $p_u$  and  $p_v$  are expressed as

$$p_u = dp \left( \frac{\partial}{\partial u} \right), \quad p_v = dp \left( \frac{\partial}{\partial v} \right).$$

Hence the induced metric  $ds^2$  is determined by its components

$$\begin{aligned} E &:= ds^2 \left( \frac{\partial}{\partial u}, \frac{\partial}{\partial u} \right) = \left\langle dp \left( \frac{\partial}{\partial u} \right), dp \left( \frac{\partial}{\partial u} \right) \right\rangle = \langle p_u, p_u \rangle, \\ F &:= ds^2 \left( \frac{\partial}{\partial u}, \frac{\partial}{\partial v} \right) = \langle p_u, p_v \rangle, \quad G := ds^2 \left( \frac{\partial}{\partial v}, \frac{\partial}{\partial v} \right) = \langle p_v, p_v \rangle. \end{aligned}$$

In this sense, we write the induced metric as

$$ds^2 = E du^2 + 2F du dv + G dv^2,$$

which is the *first fundamental form* of the surface  $p$ .

**Completeness.** Let  $\gamma: [a, b] \rightarrow M$  be a  $C^\infty$ -curve and  $\gamma'(t) \in T_{\gamma(t)} M$  its *velocity vector* at  $\gamma(t)$ .

**Definition 5.2.** The length of the curve  $\gamma$  (with respect to  $ds^2$ ) is defined by

$$\mathcal{L}_{ds^2}(\gamma) := \int_a^b |\gamma'(t)| dt, \quad \text{where} \quad |\gamma'(t)| = \sqrt{ds^2(\gamma'(t), \gamma'(t))}.$$

For two points  $P, Q \in M$ , we denote  $\mathcal{C}_{P,Q}$  the set of smooth curves on  $M$  joining  $P$  and  $Q$ . Then it is known (cf. [dC92, Prop. 2.5 in Chap. 7]) that if we define

$$d_{ds^2}(P, Q) := \inf \{ \mathcal{L}_{ds^2}(\gamma) ; \gamma \in \mathcal{C}_{P,Q} \},$$

the function  $d_{ds^2}: M \times M \rightarrow \mathbb{R}$  satisfies the axiom of distance. Moreover, the topology of  $M$  induced by the distance  $d_{ds^2}$  coincides with the original topology of  $M$ .

We call  $d_{ds^2}$  the *distance* with respect to the metric  $ds^2$ .

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<sup>3</sup>“For any smooth vector fields  $X, Y$  on  $M$ , the function  $M \ni P \mapsto (ds^2)_P(X_P, Y_P)$  is smooth.”

<sup>4</sup>Although we restrict our arguments into 2-dimensional case, the contents here are valid for higher dimensions.

**Definition 5.3.** A Riemannian manifold  $M$  with a Riemannian metric  $ds^2$  is said to be *complete* if the distance function  $d_{ds^2}$  is complete, in other words, any Cauchy sequence with respect to  $d_{ds^2}$  converges in  $M$ .

*Remark 5.4.* Completeness is equivalent to each of the following conditions (*Hopf-Rinow Theorem*, cf. [dC92, Chap. 7]): (1) Any geodesic is defined whole on  $\mathbb{R}$ . (2) Any divergent path has infinity length. (3) Any bounded closed subset is compact.

**Example 5.5.** The Euclidean space  $\mathbb{R}^n$  is complete. In fact, induced distance is the usual distance function. On the other hand,  $M := \mathbb{R}^2 \setminus \{O\}$  with the canonical metric of  $\mathbb{R}^2$  is incomplete. In fact, the Cauchy sequence  $\{(1/n, 0, \dots, 0)\}$  on  $M$  does not converge to any point in  $M$ .

**The hyperbolic plane.** The *hyperbolic plane* is the Riemannian manifold  $(H^2, ds^2)$ , where  $H^2 = \{(x, y); y > 0\}$  is the upper-half plane and  $ds^2 = (dx^2 + dy^2)/y^2$  (cf. Corollary 1.13), is called the *hyperbolic plane*. As seen in Section 1, this is a model of the non-Euclidean geometry.

**Proposition 5.6.** *The hyperbolic plane is complete.*

*Proof.* First, we compute the distance of two points aligned on the vertical line: Let  $P := (x_1, y_1)$ ,  $Q := (x_2, y_2) \in H^2$ . For a curve  $\gamma(t) = (x(t), y(t))$  ( $0 \leq t \leq 1$ ) in  $\mathcal{C}_{P,Q}$ ,

$$\mathcal{L}_{ds^2}(\gamma) = \int_0^1 \frac{1}{y(t)} \sqrt{\dot{x}(t)^2 + \dot{y}(t)^2} dt \geq \int_0^1 \frac{1}{y(t)} |\dot{y}(t)| dt \geq \left| \int_0^1 \frac{\dot{y}(t)}{y(t)} dt \right| = \left| \log y(t) \right|_0^1 = \left| \log \frac{y_2}{y_1} \right|.$$

Then

$$d_{ds^2}(P, Q) \geq \left| \log \frac{y_2}{y_1} \right| \quad \text{for } P = (x_1, y_1), \quad Q = (x_2, y_2).$$

Let  $\{P_n = (x_n, y_n)\}$  be a Cauchy sequence with respect to  $d_{ds^2}$  and fix a point  $O = (0, 1)$ . Since a Cauchy sequence is bounded, there exists a positive number  $m$  such that

$$m \geq d_{ds^2}(O, P_n) \geq |\log y_n|$$

holds for all  $n$ , that is  $\{\log y_n\}$  is bounded. Hence there exists positive constants  $a$  and  $b$  such that  $a < y_n < b$  for all  $n$ . Here, on the domain  $U := \{(x, y) \in H^2; a < y < b\}$ ,

$$\frac{1}{b^2}(dx^2 + dy^2) \leq ds^2 \leq \frac{1}{a^2}(dx^2 + dy^2).$$

Hence the sequence  $\{P_n\}$  on  $U$  is a Cauchy sequence with respect to the Euclidean metric, and then it converges to a point on the closure  $\bar{U}$  of  $U$ .  $\square$

### **Hilbert's theorem**

The purpose of this section is to give the following theorem

**Theorem 5.7** (D. Hilbert (1901) [Hil01]). *There exists no complete pseudospherical surface.*

**Existence of a global asymptotic Chebyshev net.** Let  $p: M \rightarrow \mathbb{R}^3$  be a *complete* immersion of 2-dimensional manifold  $M$  to  $\mathbb{R}^3$ , and denote by  $ds^2$  the induced metric.

**Proposition 5.8.** *There exists a smooth function  $\theta: \mathbb{R}^2 \rightarrow (0, \pi)$  and a smooth map  $\pi: \mathbb{R}^2 \rightarrow M$  such that  $\tilde{p} := p \circ \pi: \mathbb{R}^2 \rightarrow \mathbb{R}^3$  is an immersion with the first and second fundamental forms as*

$$(5.1) \quad ds^2 = dx^2 + 2 \cos \theta dx dy + dy^2, \quad II = 2 \sin \theta dx dy, \quad \theta_{xy} = \sin \theta, \quad 0 < \theta < \pi.$$

*Proof.* By the existence of the asymptotic Chebyshev net (Theorem 3.9) implies, there exists a domain  $U_0 \subset \mathbb{R}^2$  and a map  $\pi: U_0 \rightarrow M$  such that the coordinates  $(x, y)$  of  $\mathbb{R}^2$  gives the asymptotic Chebyshev net of the surface, that is, (5.1) holds on  $U_0$ . We let  $\mathcal{U}$  be the set of  $U \subset \mathbb{R}^2$  containing  $U_0$  satisfying (5.1), and take the maximal element  $U$  of the semi-ordered set  $\mathcal{U}$  with respect to the inclusion.

Assume  $U \subsetneq \mathbb{R}^2$ . Since  $\partial U := \bar{U} \setminus U$  is not empty, we can take  $P = (x_0, y_0) \in \partial U$ . Let  $\{(x_n, y_n)\}$  a sequence in  $U$  converging to  $(x_0, y_0)$ . Then both  $\{x_n\}$  and  $\{y_n\}$  are Cauchy sequences of real numbers, and since

$$ds^2 = dx^2 + 2 \cos \theta \, dx \, dy + dy^2 \leq 2(dx^2 + dy^2),$$

$\{(x_n, y_n)\}$  is a Cauchy sequence with respect to the metric  $ds^2$ . Thus, setting  $P_n := \pi(x_n, y_n)$ ,  $\{P_n\}$  is a Cauchy sequence, and by completeness, it converges to  $P \in M$ . Using Theorem 3.9 again, there exists an asymptotic Chebyshev net  $(\hat{x}, \hat{y})$  on a neighborhood  $W$  of  $P$ . Since  $\pi(U) \cap W \neq \emptyset$ , the parameter  $(\hat{x}, \hat{y})$  is related to  $(x, y)$  as  $(\hat{x}, \hat{y}) = (\pm x + a, \pm y + b)$  or  $(\pm y + a, \pm x + b)$ , because of Exercise 3-2. Then by a coordinate change, we may assume  $(\hat{x}, \hat{y})$  coincides with  $(x, y)$  without loss of generality. Hence the asymptotic Chebyshev net  $(x, y)$  can be extended across  $P$ , which contradicts to the maximality of  $U$ .  $\square$

**Proof of Hilbert's theorem.** Let  $\theta$  be a smooth function on  $\mathbb{R}^2$  satisfying

$$(5.2) \quad \theta_{xy} = \sin \theta, \quad 0 < \theta < \pi.$$

In particular,  $\sin \theta > 0$  holds on  $\mathbb{R}^2$ .

**Lemma 5.9.** *Let  $x_1, x_2, y_1, y_2$  be real numbers with  $x_1 < x_2$  and  $y_1 < y_2$ . Then*

$$(5.3) \quad \theta(x_2, y_2) - \theta(x_1, y_2) = \theta(x_2, y_1) - \theta(x_1, y_1) + \int_{y_1}^{y_2} dy \int_{x_1}^{x_2} dx \sin \theta(x, y).$$

*Proof.* Letting  $R := [x_1, x_2] \times [y_1, y_2]$ , we have

$$\begin{aligned} \iint_R \sin \theta(x, y) \, dx \, dy &= \iint_R \theta_{xy}(x, y) \, dx \, dy = \int_{y_1}^{y_2} dy \int_{x_1}^{x_2} (\theta_y)_x(x, y) \, dx \\ &= \int_{y_1}^{y_2} (\theta_y(x_2, y) - \theta_y(x_1, y)) \, dy = \theta(x_2, y_2) - \theta(x_2, y_1) - \theta(x_1, y_2) + \theta(x_1, y_1). \end{aligned} \quad \square$$

**Lemma 5.10.** *There exists  $y_1 \in \mathbb{R}$  such that the function  $x \mapsto \theta(x, y_1)$  is non-constant.*

*Proof.* If  $x \mapsto \theta(x, y_0)$  is constant, (5.3) implies

$$\theta(x_1, y_1) - \theta(x_0, y_1) = \theta(x_1, y_0) - \theta(x_0, y_0) + \int_{y_0}^{y_1} \int_{x_0}^{x_1} \sin \theta \, dx \, dy = \int_{y_0}^{y_1} \int_{x_0}^{x_1} \sin \theta \, dx \, dy > 0,$$

where  $y_1 > y_0$  and  $x_1 > x_0$ . Thus  $x \mapsto \theta(x, y_1)$  is strictly increasing.  $\square$

Let  $y_1 \in \mathbb{R}$  as in Lemma 5.10. Then there exists an interval  $I := [x_A, x_B]$  such that  $\theta$  is strictly increasing or decreasing. Then, by a coordinate change  $(x, y) \mapsto (-x, -y)$  and a translation on the  $xy$ -plane, we may assume without loss of generality that

$$(5.4) \quad x \mapsto \theta(x, 0) \text{ is strictly increasing on } [0, x_1] \quad (x_1 > 0).$$

**Lemma 5.11.** *Under the situation above,  $x \mapsto \theta(x, y_1)$  is strictly increasing on  $[0, x_1]$  if  $y_1 \geq 0$ .*

*Proof.* Apply Lemma 5.9 for  $[x, x'] \times [0, y_1]$  where  $0 \leq x < x' \leq x_1$ .  $\square$

Take real numbers  $x_2$  and  $x_3$  satisfying  $0 < x_3 < x_2 < x_1$ , and let

$$\varepsilon := \theta(x_1, 0) - \theta(x_2, 0), \quad \varepsilon' := \theta(x_3, 0) - \theta(0, 0) > 0,$$

**Lemma 5.12.** *There exists  $(x_0, y_0) \in (x_3, x_2) \times (0, \infty)$  such that*

$$\theta(x_0, y_0) > \pi - \frac{\varepsilon}{2}.$$

*Proof.* Assume  $\theta(x, y) \leq \pi - \varepsilon/2$  holds on  $R := [x_3, x_2] \times [0, \infty)$ . Then Lemmas 5.9 and 5.11 yield

$$\pi - \frac{\varepsilon}{2} \geq \theta(x, y) > \theta(x, y) - \theta(0, y) \geq \theta(x, 0) - \theta(0, 0) \geq \theta(x_3, 0) - \theta(0, 0) = \varepsilon'$$

on  $R$ . Hence  $\sin \theta \geq \delta$  holds on  $R$ , where  $\delta := \min\{\sin(\pi - \varepsilon/2), \sin \varepsilon'\} > 0$ . So, for  $(x, y) \in R$ ,

$$\begin{aligned} \theta(x, y) &> \theta(x, y) - \theta(x_3, y) = \theta(x, 0) - \theta(x_3, 0) + \int_0^y \int_{x_3}^x \sin \theta \, dx \, dy \\ &\geq \theta(x, 0) - \theta(x_3, 0) + (x_3 - x)y\delta. \end{aligned}$$

Letting  $y \rightarrow +\infty$ , the right-hand side diverges to  $+\infty$ . Then  $\theta(x, y)$  exceeds  $\pi$ , a contradiction.  $\square$

*Proof of Theorem 5.7.* Let  $p: M \rightarrow \mathbb{R}^3$  be a complete pseudospherical surface. Then by Proposition 5.8, there exists a global asymptotic Chebyshev net. In particular, there exists a function  $\theta: \mathbb{R}^2 \rightarrow \mathbb{R}$  satisfying (5.2).

Under the situation in this subsection, we have

$$\begin{aligned} \theta(x_1, y_0) - \theta(x_0, y_0) &\geq \theta(x_1, y_0) - \theta(x_2, y_0) = \theta(x_1, 0) - \theta(x_2, 0) + \int_0^{y_0} dy \int_{x_2}^{x_1} \sin \theta \, dx \, dy \\ &\geq \theta(x_1, 0) - \theta(x_2, 0) = \varepsilon. \end{aligned}$$

Hence

$$\theta(x_1, y_0) \geq \theta(x_0, y_0) + \varepsilon \geq \pi + \frac{\varepsilon}{2},$$

contradicting the assumption  $\theta \in (0, \pi)$ .  $\square$

## Exercises

**5-1** Consider a map

$$p: \mathbb{R}^2 \ni (u, v) \mapsto (v \cosh u, v, v \sinh u) \in \mathbb{R}^3.$$

- (1) Verify that the image  $p(\mathbb{R}^2)$  is contained in the cone  $\{(x, y, z) \in \mathbb{R}^3; x^2 - y^2 - z^2 = 0\}$ .
- (2) Is the induced metric  $p^* \langle \cdot, \cdot \rangle$  complete on  $\mathbb{R}^2$ ?

**5-2** Prove that the shortest curve (with respect to the canonical Riemannian metric) joining  $O := (0, 0)$  and  $P := (L, 0)$  ( $L > 0$ ) on the Euclidean plane is the line segment joining them. (Hint: For a curve  $\gamma(t) = (x(t), y(t))$  ( $0 \leq t \leq 1$ ) joining  $O$  and  $P$ , and apply the inequality similar to the proof of Proposition 5.6, and consider the equality conditions.)